

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Customer Number: 20277  
Katsumi OKAMURA, et al. : Confirmation Number: 6234  
Application No.: 10/562,485 : Group Art Unit: 1794  
Filed: December 27, 2005 : Examiner: TURNER, Archene A.  
For: SURFACE-COATED HIGH HARDNESS MATERIAL FOR TOOL

**REQUEST FOR COMPLETE ACKNOWLEDGEMENT OF THE CITED ART**

Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

We are in receipt of the Notice of Allowance dated January 27, 2009.

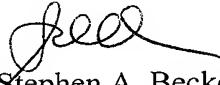
In reviewing the file, it is noted that we have not received a **complete** acknowledgement of the Information Disclosure Statement and cited art filed October 5, 2007. Specifically, the reference "Chinese Office Action, with English Translation, issued in corresponding Chinese Patent Application No. 2004800174192, dated on July 13, 2007", listed under "Other Art" has not been acknowledged. Enclosed are copies of the Information Disclosure Statement, PTO-1449 and stamped return postcard acknowledgement. Also enclosed please find a copy of the partially initialed PTO-1449 received with the Office Action dated March 4, 2008.

Applicants hereby respectfully request that:

- (1) the completely acknowledged PTO-1449 be furnished to Applicant; and
- (2) the record be clarified to confirm that the cited art has been considered and made of record.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

  
Stephen A. Becker  
Registration No. 26,527

600 13<sup>th</sup> Street, N.W.  
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Phone: 202.756.8000 SAB:alb  
Facsimile: 202.756.8087  
**Date: February 18, 2009**

**Please recognize our Customer No. 20277  
as our correspondence address.**

WDC99 1686138-1.052363.0030

Applicant:	Katsuaki OKAMURA, et al.			Docket No.	052363-0030-		Serial No.	10/562,485	
Title:	SURFACE-COATED HIGH HARDNESS MATERIAL FOR TOOL			Patent No.			Express Mail No.		
Date Sent:	10/5/2007	<input checked="" type="checkbox"/> Hand Carried	<input type="checkbox"/> Fax	<input type="checkbox"/> Electronic	<input type="checkbox"/> Cert. of Mailing	<input type="checkbox"/> 1st Class Mail	<input type="checkbox"/> RCE	<input type="checkbox"/> Prov	<input type="checkbox"/> Letter submitting _____ pages of drawings
Transmittal Letter									<input type="checkbox"/> Req. for Approval of Drawing Amendments
New Patent App	<input type="checkbox"/>	<input type="checkbox"/> Utility	<input type="checkbox"/> Design	<input type="checkbox"/> Cont.	<input type="checkbox"/> CIP	<input type="checkbox"/> Div.	<input type="checkbox"/> PCT	<input type="checkbox"/>	<input type="checkbox"/> Req. for Oral Hearing
Other:									<input type="checkbox"/> Appeal Brief
<input type="checkbox"/> Reply Brief									
<input type="checkbox"/> Rule 312 Amendment/Letter									
<input type="checkbox"/> Req. for Acknowledgement of Cited Art									
<input type="checkbox"/> Issue Fee									
<input type="checkbox"/> Publication Fee									
<input type="checkbox"/> Req. for Certificate of Correction									
<input type="checkbox"/> Maintenance Fee for _____ years after grant									
<input type="checkbox"/> Fee Address Indication Form									
<input type="checkbox"/> Terminal Disclaimer									
<input type="checkbox"/> Petition to Commissioner									
<input type="checkbox"/> Status Inquiry									
<input checked="" type="checkbox"/> Other Chinese Office Action									
Recordation of Assignment/Security Agreement									
Information Disclosure Statement									
1 _____ Form PTO 149									
4 _____ References attached									
Preliminary Amendment									
Response to Missing Parts Notice									
Req. to Notice to Correct App. Papers									
Certified Copy of Priority Doc.									
Claim for Convention Priority									
Response/Amendment to Office Action of _____									
Request for month Extension of Time _____									
Check for \$	Charge Deposit Acct.	\$ 0.00	Atty Init.	SAB	Tkp. #	4233	Secy. or PL:	Ajones	CMS Descrip: _____



Docket No.: 052363-0030

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Customer Number: 20277  
Katsumi OKAMURA, et al. : Confirmation Number: 6234  
Application No.: 10/562,485 : Group Art Unit: 1775  
Filed: December 27, 2005 : Examiner: TURNER, ARCHENE A  
For: SURFACE-COATED HIGH HARDNESS MATERIAL FOR TOOL

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached form PTO-1449. It is respectfully requested that the references be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No certification or fee is required.

The Chinese references were cited in a corresponding Chinese Office Action and their relevance is discussed therein and the other documents are their English language equivalents. A copy of the Chinese Office Action, together with an English language version thereof, is attached for the Examiner's information.

Please note that the reference JP

**10/562,485**

**2000-308906 A, JP 2001-293602 A, cited in an Information Disclosure Statement on December 27, 2005.**

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

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**Date: October 5, 2007**

**Please recognize our Customer No. 20277  
as our correspondence address.**



SHEET 1 OF 1

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Substitute for form 1449/PTO)

ATTY. DOCKET NO.  
**052363-0030**

SERIAL NO.  
**10/562,485**

**APPLICANT**  
**Katsumi OKAMURA, et al.**

**FILING DATE** December 27, 2005 **GROUP** 1775

## **U.S. PATENT DOCUMENTS**

**FOREIGN PATENT DOCUMENTS**

EXAMINER'S INITIALS	CITE NO.	Foreign Patent Document Country Codes -Number & Kind Codes (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear	Translation	
						Yes	No
		CN 1362309 A	08-07-2002		Corresponds to US 6,824,601 B2		X
		CN 1276024 A	12-06-2000		Corresponds to EP 1 021 584 B1		X
		EP 1 021 584 B1	09-12-1997		Corresponds to CN 1276024 A	X	
		CN 1347784 A	05-08-2002		Corresponds to US 6,623,850 B2		X

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER'S INITIALS	CITE NO.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		Chinese Office Action, with English Translation, issued in corresponding Chinese Patent Application No. 2004800174192, dated on July 13, 2007

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.



SHEET 1 OF 1

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Substitute for form 1449/PTO)

ATTY. DOCKET NO.  
052363-0030SERIAL NO.  
10/562,485APPLICANT  
Katsumi OKAMURA, et al.FILING DATE  
December 27, 2005GROUP  
1475 1790

### U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	Document Number Number-Kind Codes (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
59	US	6,824,601 B2	11-20-2004	YAMAMOTO et al.	Corresponds to CN 1362309 A
	US	6,823,880 B2	09-23-2003	KUKINO et al.	Corresponds to CN 1347784 A
	US				

### FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	Foreign Patent Document Country Codes-Number & Kind Codes (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear	Translation Yes      No
AM		CN 1362309 A	08-07-2002		Corresponds to US 6,824,601 B2	X
AM		CN 1276024 A	12-08-2000		Corresponds to EP 1 021 584 B1	X
AM		EP 1 021 584 B1	09-12-1997		Corresponds to CN 1276024 A	X
AM		CN 1347784 A	05-08-2002		Corresponds to US 6,823,880 B2	X

### OTHER ART (including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER'S INITIALS	CITE NO.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		Chinese Office Action, with English Translation, issued in corresponding Chinese Patent Application No. 2004800174182, dated on July 13, 2007.

EXAMINER

DATE CONSIDERED

e/08

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered.  
 1 include copy of this form with next communication to applicant  
 2 Applicant is to place a check mark here if English language Translation is attached.